



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: John Nafeh and Kenton K. Yee

SERIAL NO.: 09/923,035

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TITLE: Methods and Apparatus for Formulation, Initial Public or Private
Offering, and Secondary Market Trading of Risk Management
Contracts

EXAMINER: Milef, Elda G.

ART UNIT: 3692

CONF. NO.: 8692

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CERTIFICATE OF MAILING

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RESPONSE TO FINAL OFFICE ACTION

Sir or Madam:

In response to the Final Office Action mailed on October 16, 2007, the following remarks are provided in connection with Applicants' Request for Continued Examination (payment included herewith).